Lauren H. Cohen

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Employment

2015-Present	L.E. Simmons Chaired Professor of Business Administration, **Harvard Business School** **Joint Appointment:** *Finance Unit & Entrepreneurial Management Unit*
2014-2015	Professor of Finance, **Harvard Business School**
2007-2014	Associate Professor of Finance *&* Marvin Bower Fellow, **Harvard Business School**
2007-2011	Assistant Professor of Finance, **Harvard Business School**
2005-2007	Assistant Professor of Finance, **Yale School of Management**

Other Affiliations

2008-present	Faculty Research Fellow & Research Associate, **National Bureau of Economic Research**
2005-present	Fellow, **International Center for Finance at Yale University**
2017-present	Editor, **Review of Financial Studies**
2019-present	Creator and Co-Chair, **Harvard Business School's Executive Education Program in Family Office Wealth Management**
2019-present	Creator and Co-head, **HarvardX FinTech Course**
2019-2020	Special-Term Visiting Professor, **Tsinghua University PBC School of Finance**
2019-2020	Chairman, **Academic Board of the Family Office Center - Tsinghua University PBC School of Finance**
2014-2016	Editor, **Management Science**
2009-2012	Associate Editor, **Review of Financial Studies**
2012-2014	Associate Editor, **Management Science**
2011-2014	Associate Editor, **Review of Asset Pricing Studies**

Education

2001-2005 PhD & MBA in Finance, **Graduate School of Business, University of Chicago**

1997-2001 B.S.E., **Wharton School, University of Pennsylvania**
Concentrations: Finance, Statistics, and Accounting
Summa cum Laude

1997-2001 B.A., **University of Pennsylvania**
Major: Economics, Minor: Mathematics
Summa cum Laude

Honors & Awards

- National Science Foundation (NSF) CAREER Award ($672,369): Relationships in Finance, 2009-2014.

- National Science Foundation (NSF) Sci-SIP Award ($385,502): Assessing the Impact of Non-Practicing Entities on U.S. Innovation, 2015-2021.

- 3 x Winner of the Smith Breeden Prize for the Best Paper Published in the *Journal of Finance* in Asset Pricing (Distinguished Paper), (2007, 2008, 2010).

- Winner of the Fama-DFA Prize for the Best Paper Published in the *Journal of Financial Economics* in Asset Pricing (Distinguished Paper), 2013.

- Winner of the Inaugural Best Paper Award in Finance in *Management Science*, 2022.

- Invited to testify to the United States Congress on the impacts of government spending, 2010.

- Invited to brief the United States Congress on innovation policy, 2020.

- Invited to consult foreign governments, central banks, inter-governmental organizations, and sovereign ruling families on Innovation Policy, Impact Investing, Climate Change, Pension Structure, and Family Office Management.

- Invited to give a keynote address at the launch of the eNaira, Nigeria's Central Bank Digital Currency (CBDC), 2021.

- Awarded "Top Cited Article Award" from Wiley Publishing for the years 2020-2021 ("Lazy Prices")

- Awarded "Best Selling Case Award" from The Case Centre for the year 2021 ("AQR's Momentum Funds")

- Winner of the John L. Weinberg/IRRCI Research Paper Award Competition, 2021.

- Winner of the Fordham University Gabelli School of Business – PVH Corp. Global Thought Leadership Grant on Corporate Social Responsibility, 2020.

- Winner of the Western Finance Association Best Paper Prize in Quantitative Investments, 2007.
- 2 x Winner of the European Finance Association Best Paper Prize (2006, 2007).
- 3 x Winner of the First Prize, Crowell Memorial Award for Best Paper in Quantitative Investments, PanAgora Asset Management, (2011, 2014, 2019).
- 4 x Winner of the First Prize, Chicago Quantitative Alliance Academic Paper Competition (2006, 2010, 2016, 2022).
- Winner of the Best Paper Prize of the *Journal of Investment Consulting* Academic Paper Competition, 2016.
- Winner of the First Prize Paper of the Hillcrest Behavioral Finance Paper Competition, 2016.
- Winner of the Best Paper Prize at the University of Cambridge Consortium on Asset Management, 2020.
- Winner of the Best Paper Prize at the Center for Financial Planning Academic Research Conference, 2019.
- 4 x Winner of the Institute for Quantitative Investment Research (INQUIRE) Grant (2009, 2010, 2013, 2022).
- Winner of the *Jack Treynor Prize* for superior work in the field of investment management and financial markets, sponsored by the Q-Group (The Institute for Quantitative Research in Finance), 2016.
- Winner of the Best Paper Prize of the Center for Research in Security Prices (CRSP) Forum, 2010.
- Winner of the Columbia Millstein Center & Investor Responsibility Research Center Grant, 2013.
- Winner of the First Prize, Istanbul Stock Exchange 25th Anniversary Best Paper Competition, 2010.
- Winner of the Financial Management Association Best Paper Prize in Quantitative Investments, 2020.
- Winner of the Paul Woolley Centre Academic Grant, 2010.
- Winner of the First Prize, the Inaugural Hakan Orbay Research Award, 2015.
- Winner of the Emerald Citation of Excellence, 2009.
- Winner of the BSI Gamma Foundation Grant, Firm Characteristics and Investment Management, 2006.
- Winner of the Whitebox Grant for Research in the Behavioral Sciences, 2006.

- Named a *Top 40 under 40* Business School Professor Globally - *Poets & Quants*, 2017.

- Named a *Favorite MBA Professor of 2017 - Poets & Quants*, 2018.

- Named one of Harvard Business School's Best Teachers - *CNBC*, 2017.

- Named a 2008 *Cutting Edge Academic*, Smart Money, *Pensions & Investments*, 2008.

- International Powerlifting Federation (IPF) Master's World Powerlifting Champion (83kg), 2022

- World's Strongest Man (80KG) Competitor, 2017.

- Set the All-Time World Record in the Squat in the 181 lb. drug-tested division with a squat of 630 lbs (December 2014) & the All-Time World Record in the Squat in the 165 lb. drug-tested division with a squat of 583 lbs (December 2016).

Publications

1. "Buying the Verdict: Firms' Strategic Response to Corporate Litigation" (with Umit Gurun), 2022, *Management Science, forthcoming*.

2. "Don't Take Their Word For It: The Misclassification of Bond Mutual Funds" (with Huaizhi Chen and Umit Gurun), 2021, *Journal of Finance* 76, 1699-1730.
   - Winner of the Mendoza Grow the Good in Business Research Award, 2022.
   - Winner of the Best Paper Prize at the University of Cambridge Consortium on Asset Management, 2020.
   - Winner of the Financial Management Association Best Paper Prize in Quantitative Investments, 2020.

3. "Internal Deadlines, Drug Approvals, and Safety Problems" (with Umit Gurun and Danielle Li), 2021, *American Economic Review: Insights* 3, 67-82.

4. "Kenya Is Becoming a Global Hub of FinTech Innovation," (with Mike Chitavi and Spencer Hagist), 2021, *Harvard Business Review*, February 2021.

5. "IQ from IP: Simplifying Search in Portfolio Choice" (with Huaizhi Chen, Umit Gurun, Dong Lou, and Christopher Malloy), 2020, *Journal of Financial Economics* 138, 118-137.
   - Winner of the First Prize, Crowell Memorial Award for Best Paper in Quantitative Investments, PanAgora Asset Management, 2019.

6. "Lazy Prices" (with Christopher Malloy and Quoc Nguyen), 2019, *Journal of Finance* 75, 1371-1415.
   - Awarded a "Top Cited Article Award" from Wiley Publishing for the years 2020-2021.
   - Winner of the First Prize, Chicago Quantitative Alliance Academic Paper Competition, 2016.
   - Winner of the *Jack Treynor Prize* for superior work in the field of investment management and financial markets, sponsored by the Q-Group (The Institute for Quantitative Research in Finance), 2016.
   - Winner of the First Prize of the Hillcrest Behavioral Finance Paper Competition, 2016.

7. "Casting Conference Calls" (with Dong Lou and Christopher Malloy), 2020, *Management Science* 66, 5015-5039.
    - Winner of the First Prize, Crowell Memorial Award for Best Paper in Quantitative Investments, PanAgora Asset Management, 2014.

8. "Patent Trolls: Evidence from Targeted Firms" (with Umit Gurun and Scott Kominers), 2019, *Management Science* 65, 5461–5486.
    - Winner of the Inaugural Best Paper Award in Finance in *Management Science*, 2022.
    - Cited in the United States Federal Trade Commission Report on Patent Assertion Entities, 2016.

9. "Discussion: Do common inherited beliefs and values influence CEO pay?", 2017, *Journal of Accounting and Economics* 64, 368–370.

10. "Reply: Do Powerful Politicians Really Cause Corporate Downsizing?" (with Joshua Coval and Christopher Malloy), 2017, *Journal of Political Economy* 125, 2232-2237.

11. "Patent Trolling Isn't Dead – It's Just Moving to Delaware" (with Umit Gurun, and Scott Kominers), 2017, *Harvard Business Review,* (June) 2017.

12. "Troll Check? A Proposal for Administrative Review of Patent Litigation" (with John Golden, Umit Gurun, and Scott Kominers), 2017, *Boston University Law Review* 97, 1775-1841.

13. "The Growing Problem of Patent Trolling" (with Umit Gurun and Scott Kominers), *Science*, Vol. 352, No. 6285 (April 29, 2016): 521–522.
    - Cited in the United States Federal Trade Commission Report on Patent Assertion Entities, 2016.

14. "Industry Window Dressing" (with Huaizhi Chen and Dong Lou), 2016. *Review of Financial Studies* 29, 3354-3393.

15. "Resident Networks and Corporate Connections: Evidence from World War II Internment Camps" (with Umit Gurun and Christopher Malloy), 2017. *Journal of Finance* 72, 207–248.
    - Winner of First Prize, the Inaugural Hakan Orbay Research Award, 2015.

16. "Cloaked Trading" (with Dong Lou and Christopher Malloy), 2016. *Journal of Investment Consulting* 17, 207–248.
    - Winner of the Best Paper Prize of the *Journal of Investment Consulting* Academic Paper Competition, 2016.
    - Winner of the Institute for Quantitative Investment Research (INQUIRE) Grant, 2014.

17. "Legislating Stock Prices" (with Karl Diether and Christopher Malloy), 2013. *Journal of Financial Economics* 110, 574-595.
    - Winner of the Fama-DFA Prize for the Best Paper Published in the *Journal of Financial Economics* in the Areas of Capital Markets and Asset Pricing (Distinguished Paper), 2013.

18. "Friends in High Places" (with Christopher Malloy), 2014. *American Economic Journal: Economic Policy* 6, 63-91.

19. "Misvaluing Innovation" (with Karl Diether and Christopher Malloy), 2013. *Review of Financial Studies* 26, 635-666.

- Winner of the Whitebox Prize for outstanding contribution to the art and science of investing (Runner Up), 2014.

20. "Decoding Inside Information" (with Christopher Malloy and Lukasz Pomorski), 2012. *Journal of Finance* 67, 1009-1044.
    - Winner of the First Prize, Chicago Quantitative Alliance Academic Paper Competition, 2010.
    - Winner of the Institute for Quantitative Investment Research (INQUIRE) Grant, 2009.

21. "Complicated Firms" (with Dong Lou), 2012, *Journal of Financial Economics* 104, 383-400.
    - Winner of the First Prize, Crowell Memorial Award, PanAgora Asset Management, 2011.
    - Winner of the Best Paper Prize of the Center for Research in Security Prices (CRSP) Forum, 2010.
    - Winner of the First Prize, Istanbul Stock Exchange 25th Anniversary Best Paper Competition, 2010.
    - Winner of the Institute for Quantitative Investment Research (INQUIRE) Grant, 2010.
    - Winner of the Paul Woolley Centre Academic Grant, 2010.

22. "Hiring Cheerleaders: Board Appointments of 'Independent' Directors" (with Andrea Frazzini and Christopher Malloy), 2012, *Management Science* 58, 1039-1058.

23. "Do Powerful Politicians Cause Corporate Downsizing?" (with Joshua Coval and Christopher Malloy), 2011. *Journal of Political Economy* 199, 1015-1060.

24. "The Power of Alumni Networks" (with Christopher Malloy), 2010, *Harvard Business Review* 88, no. 10.

25. "Sell Side School Ties" (with Andrea Frazzini and Christopher Malloy), 2010, *Journal of Finance*, 65, 1409-1437.
    - Winner of the Smith Breeden Prize, Distinguished Paper, for the best paper published in the *Journal of Finance*, 2010.

26. "Attracting Flows by Attracting Big Clients" (with Breno Schmidt), 2009, *Journal of Finance*, 64, 1225-1252.
    - Winner of the SQA Award, Best Paper in Quantitative Investments, Western Finance Association, 2007.
    - Winner of the BGI Best Paper Prize, Asset Allocation Symposium, European Finance Association, 2006.

27. "Loyalty Based Portfolio Choice" 2009, *Review of Financial Studies*, 22, 1213-1245.

28. "Shorting Demand and Predictability of Returns" (with Karl Diether and Christopher Malloy), 2009, *Journal of Investment Management*, 7, 36-52.

29. "The Small World of Investing: Board Connections and Mutual Fund Returns" (with Andrea Frazzini and Christopher Malloy), 2008, *Journal of Political Economy*, 116, 951-979.
    - Winner of the BGI Award, Best Paper in Asset Pricing, European Finance Association, 2007.

30. "Economic Links and Predictable Returns" (with Andrea Frazzini), 2008, *Journal of Finance*, 63, 1977-2011.
    - Winner of the Smith Breeden Prize, Distinguished Paper, for the best paper published in the *Journal of Finance*, 2008.
    - Winner of the Emerald Citation of Excellence Award, 2009.
    - Winner of the First Prize, Chicago Quantitative Alliance Academic Paper Competition, 2006.
    - Winner of the BSI Gamma Foundation Grant, 2006.

31. "Supply and Demand Shifts in the Shorting Market" (with Karl Diether and Christopher Malloy), 2007, *Journal of Finance* 62, 2061-2096.
    - Winner of the Smith Breeden Prize, Distinguished Paper, for the best paper published in the *Journal of Finance*, 2007.

Book Chapters

32. "Managing, Preserving, and Unlocking Wealth through FinTech" (with Grace Headinger and Zhaoheng Gong), 2023. Prepared for Research Handbook of Alternative Finance, Edward Elgar Publishing, edited by Franklin Allen, Meijun Qian, and Jun Qian.

33. "Empirical Evidence on the Behavior and Impact of Patent Trolls: A Survey" (with Umit Gurun and Scott Kominers), 2015. Prepared for Patent Assertion Entities and Competition Policy, Cambridge University Press, edited by D. Daniel Sokol.

34. "Who Chooses Board Members?" (with Ali Akyol), 2013. Prepared for *Advances in Financial Economics, Volume 16,* edited by Kose John, Anil Makhija, and Stephen Ferris.

Working Papers

1. "The ESG-Innovation Disconnect: Evidence from Green Patenting" (with Umit Gurun and Quoc Nguyen), 2022.
    - Winner of the John L. Weinberg/IRRCI Research Paper Award Competition, 2021.
    - Winner of the Fordham University Gabelli School of Business – PVH Corp. Global Thought Leadership Grant on Corporate Social Responsibility, 2020.

2. "The Political Economy of Anti-Bribery Enforcement" (with Bo Li), 2022.

3. "Hidden Alpha" (with Manuel Amman, Alexander Cochardt, and Stephan Heller), 2022.
    - Winner of the Institute for Quantitative Investment Research (INQUIRE) Grant, 2022.
    - Winner of the First Prize, Chicago Quantitative Alliance Academic Paper Competition, 2022.

4. "Too Many Managers: The Strategic Use of Titles to Avoid Overtime Payments" (with Umit Gurun and Bugra Ozel), 2022.

5. "Calling All Issuers: The Market for Debt Monitoring" (with Huaizhi Chen and Weiling Liu), 2022.

6. "Moving Targets" (with Quoc Nguyen), 2022.

7. “The Senator Next Door: Depolarization through Peer Influence” (with Bo Li), 2022.

HBS Course Materials

- “André Hoffmann: Beyond Philanthropy,” with Hao Gao, Jiawei Ye, and Spencer Hagist, Harvard Business School Case 221-093.
- “An Heir with No Spare: The Deitch Family Office,” with Grace Headinger, Harvard Business School Case 223-019.
- “To SFO or Not To SFO: The Tolman Family Selects a Family Office Strategy,” with Hao Gao, Victoria Alvarez-Arango, Grace Headinger, Mili Sanwalka, and Anna Yuan, Harvard Business School Case 223-021.
- “Star Magnolia Capital: Becoming Experts at Finding Experts,” with Hao Gao, River Ewing, and Grace Headinger, Harvard Business School Case 223-038.
- “Weapons of Self Destruction: Zak Pym Williams and the Cultivation of Mental Wellness,” with Ronnie Stangler and Grace Headinger, Harvard Business School Case 223-033.
- “ROI vs. ROI: The Grupo Baobá Family Office,” with Hao Gao, Jiawei Ye, and Grace Headinger, Harvard Business School Case 223-018.
- “The Instant Payment Mandate: The Central Bank of Brazil and Pix,” with Spencer Hagist, Harvard Business School Case 222-053.
- “Lidya: Bringing Nigerian FinTech Innovation to Global Small and Medium Enterprises,” with Spencer Hagist, Harvard Business School Case 221-083.
- “Building India’s 2.0: PayNearby,” with Spencer Hagist, Harvard Business School Case 221-027.
- “Litigation Finance 2.0: LexShares,” with Spencer Hagist and Yago Zavalia Gahan, Harvard Business School Case 221-092.
- “Ensuring Your Family’s Future: The Alagil Family Office (A),” with Esel Cekin and Fares Kharis, Harvard Business School Case 222-034.
- “Ensuring Your Family’s Future: The Alagil Family Office (B),” with Esel Cekin and Fares Kharis, Harvard Business School Case 222-035.
- “Bairong and the Promise of Big Data,” with Xiaoyan Zhang and Spencer Hagist, Harvard Business School Case 221-068.
- “One Tiger Per Mountain: The He Family Office,” with Fei Wu and Grace Headinger, Harvard Business School Case 223-001.
- “Pushing Past the Boundaries of ESG Investing: AQR Capital Management,” with Rich Evans, Umit Gurun, and Quoc Nguyen, Harvard Business School Case 222-058.

- “Rawbank’s Illico Cash: Can “Fast Money” Overcome Cash Dependency in the DRC?,” with Grace Headinger, Harvard Business School Case 222-084.

- “From GOP to NFT: Anthony Scaramucci and the Launch of Flatter NFT,” with Richard Ryffel and Grace Headinger, Harvard Business School Case 222-085.

- “In Data We Trust: Be Mobile Africa and Furthering Financial Inclusion Across the African Continent,” with Grace Headinger and Pierre Marchesseault, Harvard Business School Case 222-073.

- “The 1,000-Year Plan: Lee Kum Kee and Sustaining a Family Culture,” with Dawn Lau and Billy Chan, Harvard Business School Case 221-047.

- “Reclaiming the Land of Purple: Purpl’s Mission to Unlock Finance in Lebanon,” with Grace Headinger, Harvard Business School Case 222-078.

- “Toraya,” with Nobuo Sato and Akiko Kanno, Harvard Business School Case 222-068.

- “Genomics in the Family Office,” with Ronnie Stangler and Spencer Hagist, Harvard Business School Case 221-035.

- “Equity Bank: Charting the Future,” with Michael Chitavi and Spencer Hagist, Harvard Business School Case 221-105.

- “Equity Bank: Challenging a Giant,” with Michael Chitavi and Spencer Hagist, Harvard Business School Case 221-080.

- “The Incentive for Legacy: Tsinghua University Education Foundation,” with Hao Gao and Spencer Hagist, Harvard Business School Case 221-100.

- “Rapid7: The Price of Principle,” with Spencer Hagist, Harvard Business School Case 221-021.

- “China Merchants Bank: Ushering in the Era of Family Office in China,” with Hao Gao, Billy Chan, and Dawn Lau, Harvard Business School Case 220-032.

- “Junson Capital: Building an Institutionalized Family Office,” with Christopher Malloy, Hao Gao, and Dawn Lau, Harvard Business School Case 219-069.

- “CIR Group: Passing Wealth through the Generations,” with Christopher Malloy and Elena Corsi, Harvard Business School Case 219-051.

- “CIR Group: Passing Wealth through the Generations,” with Christopher Malloy and Elena Corsi, Harvard Business School Teaching Note 219-188.

- “fidentiaX: The Tradable Insurance Marketplace on Blockchain,” with Alexander Braun and Jiahua Xu, Harvard Business School Case 219-109.

- “fidentiaX: The Tradable Insurance Marketplace on Blockchain,” with Alexander Braun and Jiahua Xu, Harvard Business School Teaching Note 219-159.

- “ClearLife: From Prospect to Platform,” with Alexander Braun and Jiahua Xu, Harvard Business School Case 219-117.

- “ClearLife: From Prospect to Platform,” with Alexander Braun and Jiahua Xu, Harvard Business School Teaching Note 219-144.

- “When Trolls Attack: Carbonite vs. Oasis Research,” with Umit Gurun, Scott Kominers, and Sarah Mehta, Harvard Business School Case 218-198.

- “When Trolls Attack: Carbonite vs. Oasis Research,” with Umit Gurun, Scott Kominers, and Sarah Mehta, Harvard Business School Teaching Note 218-234.

- “Artificial Intelligence and the Machine Learning Revolution in Finance: Cogent Labs and the Google Cloud Platform (GCP),” with Christopher Malloy and William Powley, Harvard Business School Case 218-092.

- “Chaudhary Group: Rebuilding Nepal,” with Christopher Malloy and Inakshi Sobti, Harvard Business School Case 218-174.

- “Chaudhary Group: Rebuilding Nepal (B),” with Christopher Malloy and Inakshi Sobti, Harvard Business School Case 218-179.

- “Introduction to Life Settlements,” with Alexander Braun, Christopher Malloy, and Jiahua Xu, Harvard Business School Industry Note 218-452.

- “Patent Trolling,” with Umit Gurun, Scott Kominers, and George Hou, Harvard Business School Industry Note 218-085.

- “Ashar Group: Brokers and Co-opetition in the Life Settlement Industry,” with Alexander Braun, Christopher Malloy, and Jiahua Xu, Harvard Business School Case 218-281.

- “Ashar Group: Brokers and Co-opetition in the Life Settlement Industry,” with Alexander Braun, Christopher Malloy, and Jiahua Xu, Harvard Business School Teaching Note 218-388.

- “Lufax: FinTech and the Transformation of Wealth Management in China,” with Christopher Malloy and Anthony Woo, Harvard Business School Case 218-174.

- “Shanda Family Office,” with Christopher Malloy and Essie Alamsyah, Harvard Business School Case 218-224.

- “Dianrong: Marketplace Lending, Blockchain, and “The New Finance” in China,” with Christopher Malloy and Anthony Woo, Harvard Business School Case 218-031.

- “Dianrong: Marketplace Lending, Blockchain, and “The New Finance” in China,” with Christopher Malloy and Anthony Woo, Harvard Business School Teaching Note 218-054.

- “The Market for Justice: Burford Capital and the Litigation Finance Industry,” with Christopher Malloy and William Powley, Harvard Business School Case 218-007.

- “Disintermediating the Banks: ThinCats and the Peer-to-Peer Lending Industry,” with Christopher Malloy and William Powley, Harvard Business School Case 217-007.

- “Domeyard: Starting a High-Frequency Trading (HFT) Hedge Fund,” with Matthew Foreman and Christopher Malloy, Harvard Business School Case 215-036.

- “The Complexity of Vanguard’s Entry Decision into ETFs,” with Christopher Malloy and Tina Tang, Harvard Business School Case 215-031.

- “Seeking Alpha in the Afterlife: CMG Life Services and the Life Settlement Industry,” Harvard Business School Case 213-104.

- “Seeking Alpha in the Afterlife: CMG Life Services and the Life Settlement Industry,” Harvard Business School Teaching Note 213-149.

- “Tottenham Hotspur plc,” with Christopher Malloy and Joshua Coval, Harvard Business School Case 209-059.

- “Tottenham Hotspur plc,” with Christopher Malloy and Joshua Coval, Harvard Business School Teaching Note 209-121.

- “Miracle Life Inc,” with Christopher Malloy, Harvard Business School Case 210-039.

- “Miracle Life Inc,” with Christopher Malloy, Harvard Business School Teaching Note 210-069.

- “PlanetTran,” with Christopher Malloy, Harvard Business School Case 209-029.

- “PlanetTran,” with Christopher Malloy, Harvard Business School Teaching Note 209-120.

- “AQR’s Momentum Funds (A),” with Daniel Bergstresser, Randolph Cohen, and Christopher Malloy, Harvard Business School Case 211-025.
    - Awarded “Best Selling Case Award” from The Case Centre for the year 2021

- “AQR’s Momentum Funds (B),” with Daniel Bergstresser, Randolph Cohen, and Christopher Malloy, Harvard Business School Supplement 211-075.

- “AQR’s Momentum Funds,” with Daniel Bergstresser, Randolph Cohen, and Christopher Malloy, Harvard Business School Teaching Note 212-083.

- “AQR’s DELTA Strategy,” with Daniel Bergstresser, Randolph Cohen, and Christopher Malloy, Harvard Business School Case 212-038.

- “AQR’s DELTA Strategy,” with Daniel Bergstresser, Randolph Cohen, and Christopher Malloy, Harvard Business School Teaching Note 212-084.

- “Dimensional Fund Advisors (DFA)’s Entry into the Retirement Market,” with Christopher Malloy, Harvard Business School Case 212-068.

- “Dimensional Fund Advisors (DFA)’s Entry into the Retirement Market,” with Christopher Malloy, Harvard Business School Teaching Note 212-069.

- “Quadriserv and the Short Selling Market,” with Christopher Malloy, Harvard Business School Case 212-021.

- “Quadriserv and the Short Selling Market,” with Christopher Malloy, Harvard Business School Teaching Note 212-037.

- “An Introduction to Short Selling,” with Christopher Malloy, Harvard Business School Industry Note 212-079.

- “Fundamental Analysis in Emerging Markets: Autoweb Holdings,” with Christopher Malloy, Harvard Business School Case 212-022.

- “Fundamental Analysis in Emerging Markets: Tren Anuncio Rapido,” with Christopher Malloy, Harvard Business School Case 212-023.

- “Fundamental Analysis in Emerging Markets: Autoweb Holdings and Tren Anuncio Rapido,” with Christopher Malloy, Harvard Business School Teaching Note 209-121.

- “Business Intelligence Advisors (BIA) Inc.: Finding the Hidden Meaning in Corporate Disclosures,” with Christopher Malloy, Harvard Business School Case 212-031.

- “Business Intelligence Advisors (BIA) Inc.: Finding the Hidden Meaning in Corporate Disclosures,” with Christopher Malloy, Harvard Business School Teaching Note 212-066.

- “Innovating into Active ETFs: Factor Funds Capital Management LLC,” with Kenneth Froot and Scott Waggoner, Harvard Business School Case 211-031.

- “Innovating into Active ETFs: Factor Funds Capital Management LLC,” with Kenneth Froot, Harvard Business School Teaching Note 212-085.

- “Stock Pitching at Freelin Capital,” Harvard Business School Case 213-086.

- “Stock Pitching at Freelin Capital,” Harvard Business School Teaching Note 213-101.

- “The Commoditization of Investment Management,” Harvard Business School Module Note 212-086.

Keynote Addresses

- Keynote Speaker at the 2023 European Financial Management Association Meeting
- Keynote Speaker at the 2023 Northern Finance Association Meeting
- Keynote Speaker at the 2023 University of Connecticut Finance Conference
- Keynote Speaker at the 2023 Florida State University Truist Conference
- Keynote Speaker at the 2022 Behavioural Finance Working Group 15th Annual Conference, London, UK
- Keynote Speaker at the 2022 University of Lasalle Conference on Financial Inclusion, Bacolod, the Philippines

- Keynote Speaker at the 2021 LCCI Blockchain Technology, Cryptocurrencies and Central Back Currencies: Implications for the Global Financial Landscape (Launch of the eNaira), Lagos, Nigeria
- Keynote Speaker at the 2021 New Zealand Finance Meeting
- Keynote Speaker at the 2021 EdukCircle International Convention on Business, Manila, the Philippines
- Keynote Speaker at the 2021 MIT-China Innovation and Entrepreneurship Forum Conference
- Keynote Speaker at the 2021 University of Texas at Dallas Finance Conference
- Keynote Speaker at the 2021 Brazilian Finance Society Conference
- Keynote Speaker at the 2021 Harvard Business Review FinTech Conference
- Keynote Speaker at the 2021 International Conference on Economic Management and Green Development at Stanford University
- Keynote Speaker at the 2020 Two Sigma PhD Research Symposium
- Keynote Speaker at the 2020 CompU Online Lenders Alliance Conference
- Keynote Speaker at the 2020 CFP Board Academic Research Colloquium
- Keynote Speaker at the 2020 Online Lenders Alliance Compliance University FinTech Conference
- Keynote Speaker at the 2018 Taiwan Finance Association (TFA) Annual Meeting
- Keynote Speaker at the 2018 QuantCon Conference
- Delivered the 2018 Richard Hu Visiting Professorship Lecture at Singapore Management University
- Keynote Speaker at the 2017 PNC-University of Kentucky Finance Conference
- Keynote Speaker at the 2017 Sitel Summit | Miami
- Keynote Speaker at the 2016 8th Conference on Professional Asset Management at the Rotterdam School of Management, Erasmus University (Rotterdam, Netherlands)

Invited Presentations & Discussions

2022: American Finance Association Meeting, 2022 University of Lasalle Conference on Financial Inclusion, Bacolod, the Philippines, Ohio State University, Chinese University of Hong Kong, University of Georgia, University of Colorado at Boulder, National Bureau of Economic Research New Developments in Long Term Asset Management Conference, Harvard Business School Family Business Conference, The United States Securities and Exchange Commission, Academy of Management Mini-Symposium For Special Issue Papers, UCLA Fink Conference on Financial Markets, Respada Family Office Next Generation Conference, HEC Montreal, Fudan University, , Harvard Business School Alumni Reunion, 2022 Behavioural Finance Working Group 15th Annual Conference, London, UK, Harvard Business School PRIMO Fellows Program, Harvard Business Publishing: Virtual Thought Leader Session for Kotak Mahindra Bank Edge Program, National Bureau of Economics Research Summer Institute Asset Pricing Progam, 2022 Cornell University ESG Investing Research Conference, University of Alberta, Alternative Finance Conference, CFA Society Switzerland Generations Conference 2022, University of Washington, Cheung Kong Graduate School of Business, Harvard Law School, Harvard Business School, HBS Business in Global Society Series, Carnegie Mellon University.

2021: American Finance Association Meeting, 2021 International Conference on Economic Management and Green Development at Stanford University, Central Illinois Wealth Association, Florida International University, Harvard Business School, Washington University of St. Louis, Jane Street Capital, Georgia State University, University of Delaware Weinberg Center - European Corporate Governance Institute Corporate Governance Symposium, Peking University, University of St. Gallen, Seminar series of the Universities of Bonn, Cologne, Dortmund, Wuppertal, and WHU (Germany), Johns Hopkins University, University of Nottingham, Centre for the Study of Financial Innovation Conference, 2021 Harvard Business Review FinTech Conference, Western Finance Association, Norges Bank Investment Management Program on Climate Change, 2nd London Political Finance (POLFIN) Workshop , China Financial Research Conference, 10th annual Municipal Finance Conference of the Hutchins Center on Fiscal and Monetary Policy at Brookings, the Rosenberg Institute of Global Finance at the Brandeis International Business School, the Olin Business School at Washington University in St. Louis, and the Harris School of Public Policy at the University of Chicago, 2021 Brazilian Finance Society Conference, Babson College, 2021 LCCI Blockchain Technology, Cryptocurrencies and Central Back Currencies: Implications for the Global Financial Landscape (Launch of the eNaira), Lagos, Nigeria, Hong Kong University, Harvard Business School, 2021 University of Texas at Dallas Finance Conference, Norwegian School of Economics (NHH), 2021 LCCI Blockchain Technology, Cryptocurrencies and Central Back Currencies: Implications for the Global Financial Landscape (Launch of the eNaira), Lagos, Nigeria, 2021 MIT-China Innovation and Entrepreneurship Forum Conference, Northeastern University, University of Texas at Austin, Texas A&M University, 2021 EdukCircle International Convention on Business, Manila, the Philippines, HEC Lausanne and the Swiss Finance Institute at EPFL, 2021 New Zealand Finance Meeting.

2020: The United States Securities and Exchange Commission, National Bureau of Economic Research Conference, American Finance Association Meeting (San Diego, CA), American Economic Association Meeting (San Diego, CA), Chapman University Conference on the Experimental and Behavioral Aspects of Financial Markets (Orange, CA), Consumer Financial Planner Board Academic Research Colloquium (Washington, DC), Wharton Behavioral Insights from Text Conference (Philadelphia, PA), 2020 Online Lenders Alliance Compliance University FinTech Conference, Two Sigma PhD Research Symposium, University of Alabama, Baruch College of the City University of New York, Harvard Business School, Rutgers University, University of Utah, Vanderbilt University.

2019: The United States Securities and Exchange Commission (Washington, DC), National Bureau of Economic Research Conference (Cambridge, MA), American Finance Association Meeting (Atlanta, GA), Consumer Financial Planner Board Academic Research Colloquium (Washington, DC), Harvard Law School Conference on Litigation Finance (Cambridge, MA), IDC Herzliya Summer Finance Conference, Case Western Reserve University, University of Connecticut, Cornell University, Georgia Institute of Technology, Harvard Business School, Harvard Alumni Club (Beijing, China), University of Houston, Michigan State University, New York University, Rice University, University of Texas at Dallas, University of Toronto, Tsinghua People's Bank of China School of Finance, University of Wyoming, Zhujiang College of South China Agricultural University, BlackRock Family Office Program (New York City, NY), State Street Investor Conference (Cambridge, MA), China Merchants Bank (Shenzhen, China).

2018: National Bureau of Economic Research Law and Economics Conference (Cambridge, MA), American Finance Association Meeting (Philadelphia, PA), UCLA Anderson Finance Conference (Los Angeles, CA), 2018 Venture Capital-Private Equity Conference Family Office Panel (Cambridge, MA), QuantCon Quantitative Investing Conference 2018 (New York City, NY), 2018 Taiwan Finance Association Annual Meeting (Taipei, Taiwan), Richard Hu Lecture at Singapore Management University, 2018 California Corporate Finance Conference at Loyola Marymount University, 2018 Eventshares Policy Alpha Conference, 2018 Robeco Explore Quantitative Conference (Cambridge, MA), University of Amsterdam, Frankfurt School of Finance and Management, Harvard Business School, Harvard Law and Economics Seminar, Harvard Law and Governance Seminar, Loyola Marymount University, National Chengchi University, Northwestern University, Oxford University, University of Pittsburgh, Shanghai Advanced Institute of Finance at Shanghai Jiao Tong University, Singapore Management University, University of Surrey, Sveriges Riksbank, Swedish House of Finance at the Stockholm School of Economics, Vienna Graduate School of Finance at the Vienna University of Economics and Business, PanAgora Asset Management.

2017: National Science Foundation – Arizona State University Conference on the Future of Empirical Research in Patents (Washington, DC), American Economic Association Meeting (Chicago, IL), American Finance Association Meeting (Chicago, IL), University of Miami Behavioral Finance Conference, Conference on Empirical Legal Studies at Cornell University (Ithaca, NY), Life Insurance Settlement Association Annual

Institutional Investor Conference (New York City, NY), ViaX Student Venture Capital Pitching Competition (Cambridge, MA), Rodney L. White Center conference on Financial Decisions and Asset Markets at Wharton (Philadelphia, PA)**,** Q Group Spring Conference (Miami, FL), Barclay's Quantitative Asset Management Conference (Boston, MA)**,** PNC Bank-University of Kentucky Finance Conference (Lexington, KY), Georgia State University-Center for Economic Analysis of Risk Finance Conference: Recent Advances in Corporate Governance (Atlanta, GA), Sitel Summit | Miami (Miami, FL), Macquarie Global Quantitative Research Conference (Hong Kong), Yale PhD Summer School in Behavioral Finance (New Haven, CT), Hong Kong Polytechnic University -Tsinghua Summer Research Boot Camp on Financial Intermediation and Markets (Beijing, China), Washington University Conference on Corporate Finance (St. Louis, MO), Ben Graham Centre's 6th Symposium on Intelligent Investing (Ontario, Canada), University of California at Berkeley Haas-Berkeley Law Joint Seminar. University of California at San Diego, University of Chicago, Harvard Law and Economics Seminar, University of San Diego, University of South Carolina, University of Washington, Washington State University, Yale University, Yeshiva University.

2016: The United States Federal Reverse Board of Governors (Washington, DC), United States Public Company Accounting Oversight Board (PCAOB), National Bureau of Economic Research, American Finance Association Meeting (Boston, MA), American Economic Association Meeting (San Francisco, CA), Visiting Chinese Delegation on Finance and Innovation at Harvard University (Cambridge, MA), Visiting Chinese Delegation on the Growth and Economics of Franchise Financing at Harvard University (Cambridge, MA), The Sixth Annual Patent Conference (PatCon6) at Boston College Law School (Newton, MA), Harvard School of Engineering and Applied Sciences - Harvard Business School Research Symposium (Cambridge, MA), 8th Conference on Professional Asset Management at the Rotterdam School of Management, Erasmus University (Rotterdam, Netherlands), the University of Pennsylvania/New York University Conference on Law and Finance at the University of Pennsylvania (Philadelphia, PA), the 2016 Journal of Accounting & Economics Conference at MIT (Cambridge, MA), Arizona State University, University of Chicago, Center for Mathematical Sciences and Applications at Harvard University, Harvard Business School, University of Kansas, London Business School, London School of Economics, McGill University, Northwestern University, University of Oregon, Temple University, Fuller & Thaler Asset Management, Inc., AQR Capital Management, Cubist Systematic Strategies Annual Conference (New York City, NY).

2015: United States Patent and Trademark Office (USPTO), United States Securities and Exchange Commission (SEC), National Bureau of Economic Research, American Finance Association Meeting (Boston, MA), American Economic Association Meeting (Boston, MA), 21st Century Visiting Chinese Government Delegation on Patents and Innovation at the University of Chicago (Chicago, IL), Harvard/MIT/INET/CIGI Workshop on the Future of Global Patent Policy (Cambridge, MA), The Working Papers in Patent Policy at the United States Patent and Trademark Office (USPTO) (Washington, DC), Duke Innovation and Entrepreneurship Seminar, 2nd Annual Istanbul Pension Fund Conference - Optimal Pension System in the Presence of Systemic Risk: Auto-Enrolment & Qualified Default Investment Alternatives (Istanbul, Turkey), West Point-Harvard Business School Cadet Course, Annual Spring Life Settlement Conference (Boston, MA), Swedish Conference on Entrepreneurship and Finance (Lund, Sweden), Yale PhD Summer School in Behavioral Finance, Tsinghua – RFS Entrepreneurial Finance and Innovation around the World Conference (Beijing, China), Tsinghua-Harvard Business School Investment Management Course, Financial Research Association Conference (Las Vegas, NV), Clemson University, University of Edinburgh, Florida State University, Georgia State University, University of Missouri, Purdue University, Rochester University, University of South Florida, Southern Methodist University, Tulane University, Fuller & Thaler Asset Management, Inc.

2014: National Bureau of Economic Research, American Finance Association Meeting (Philadelphia, PA), American Economic Association Meeting (Philadelphia, PA), 21st Century Conference on Innovation at Harvard, Brigham Young University Red Rock Finance Conference, Columbia Law School and IRRC Institute Conference on the Use and Misuse of Stock Prices, Public Company Accounting Oversight Board, Center for Economic Analysis, and Journal of Accounting Research Conference on Auditing and Capital Markets

(Washington, DC), University of Bristol, California Advanced Institute for Management, University of Exeter, Massachusetts Institute of Technology, Conference on Network Economics at University of Essex, University of Illinois, University of Pennsylvania Wharton School, Texas Christian University, Tsinghua University, Bentley University, Brandeis University, Harvard Business School, 4th Annual Institutional Investor Life Settlement Conference (New York, NY), 25th Annual Spring Life Settlement Conference (Washington, DC), Prudential Capital Group Annual Analyst Conference.

2013: National Bureau of Economic Research, American Finance Association Meeting (San Diego, CA), 100 Women in Hedge Funds and Morgan Lewis & Bochius Conference on The Fine Line of Insider Trading, University of Miami Behavioral Finance Conference, Yale PhD Summer School in Behavioral Finance, California Advanced Institute for Management, University of Chicago, China Europe International Business School, City University of New York - Baruch College, Cornell University, George Washington University, University of Georgia, Harvard Business School, Harvard Law School, University of Hong Kong, Hong Kong University of Science and Technology, Imperial College London, University of Notre Dame, University of Warwick, Acadian Asset Management, Wellington Asset Management.

2012: American Finance Association Meeting (Chicago, IL), Financial Research Association Conference (Las Vegas, NV), Rodney White Center conference on Household Portfolio Choice and Investment Decisions at University of Pennsylvania Wharton School, Interdisciplinary Center (IDC) Rothschild Caesarea Center Annual Conference (Herzliya, Israel), University of Delaware Weinberg Center For Corporate Governance 2012 Corporate Governance Symposium, University of Alabama, University of Alberta, University of Arizona, Brigham Young University, University of California at Berkeley, Case Western Reserve University, DePaul University, Harvard Business School, Luxembourg School of Finance (LSF), University of Mannheim, University of Miami, Nova School of Business & Economics, Rice University, University of Texas at Dallas, University of Virginia, Washington University, University of Pennsylvania Wharton School, Interdisciplinary Center (IDC) Rothschild Caesarea Center Risk and Alpha Conference (Herzliya, Israel).

2011: National Bureau of Economic Research, American Finance Association Meeting (Denver, CO), Adam Smith Asset Pricing Conference (Oxford, UK), Yale PhD Summer School in Behavioral Finance, Duisenberg School of Finance (DSF), Emory University, Harvard Business School, Arrowstreet Capital Conference, Nomura Global Equity Conference in London, PanAgora Asset Management.

2010: United States Congress, United States Securities and Exchange Commission (SEC), National Bureau of Economic Research, American Finance Association Meeting (San Francisco, CA), Western Finance Association Meeting (Victoria, Canada), Center for Research in Security Prices (CRSP) Forum, Cambridge University Centre for Financial Analysis and Policy Conference on Interconnections in Financial Markets (Cambridge, UK), Istanbul Stock Exchange 25th Anniversary Conference (Istanbul, Turkey), UNC/Risk Management Association Conference on Securities Lending Research (New York, NY), Binghamton University, University of California at Los Angeles, Columbia University, Dartmouth University, Harvard Business School, INSEAD, University of Michigan, University of Missouri, University of North Carolina, Princeton University, University of Texas at Austin, Arrowstreet Capital, State Street Global Advisors.

2009: National Bureau of Economic Research, American Finance Association Meeting (San Francisco, CA), European Finance Association Meeting (Bergen, Norway), Texas Finance Festival (Austin, TX), UBC Summer Finance Conference (Kelowna, BC), Singapore International Conference on Finance (Singapore), Singapore Management University Summer Research Camp (Singapore), Real Colegio Complutense Workshop on Financial Economics (Boston, MA), University of Colorado at Boulder, Erasmus University Rotterdam, Georgia Tech, Harvard Business School, Indiana University, Northeastern University, Singapore Management University, Tilburg University, University of Toronto.

2008: Yale Governance Forum, Financial Research Association Conference (Las Vegas, NV), Bentley College, Harvard Business School, Helsinki School of Economics, London Business School, London School of

Economics, University of Maryland, University of Melbourne, University of New South Wales, Northwestern University, Ohio State University, Oxford University, Swedish Institute for Financial Research, Arrowstreet Capital Conference, Society of Quantitative Analysts.

2007: National Bureau of Economic Research, European Finance Association Meeting (Ljubljana, Slovenia), University of Amsterdam, University of Chicago, Harvard University, University of Illinois, Massachusetts Institute of Technology, University of Oregon, Yale University, UC Davis Conference on Financial Markets Research, Asset Pricing Mini Conference at Washington University, AQR Capital Management, Morgan Stanley.

2006: National Bureau of Economic Research, European Finance Association Meeting (Zurich, Switzerland), New York University Stern, Yale University, NYU/NY Federal Reserve Conference on Financial Intermediation, Financial Research Association Conference (Las Vegas, NV), Barclays Global Investors Equity Research Offsite (San Francisco, CA), Chicago Quantitative Alliance, Prudential Equity Conference, Goldman Sachs, Lehman Brothers.

2005: National Bureau of Economic Research, Western Finance Association Meeting (Portland, OR), Financial Management Association (Chicago, IL), Yale Conference on Behavioral Finance, Yale Whitebox Conference, Arizona State University, University of California at Berkeley, Boston College, Columbia University, Cornell University, Duke University, Emory University, Harvard Business School, Indiana University, Massachusetts Institute of Technology, University of North Carolina, University of Notre Dame, Stanford University, University of Texas at Austin, University of Pennsylvania Wharton School, Yale University.

2004: Swedish Institute for Financial Research Conference on Portfolio Choice and Investor Behavior (Stockholm, Sweden), European Finance Association Meeting (Maastricht, Netherlands), University of Chicago, Yale University.

Professional Activities

Referee for *Econometrica, American Economic Review, Journal of Political Economy, Quarterly Journal of Economics, Journal of Finance, Journal of Financial Economics, Review of Financial Studies, Journal of Business, American Economic Journal, Review of Economic Studies, Review of Economics and Statistics, Journal of Financial and Quantitative Analysis, Management Science, Review of Asset Pricing Studies, Financial Management, Review of Finance, Journal of Accounting Research, Accounting Review, Journal of Accounting and Economics, PLOS One,* and *Quarterly Review of Economics and Finance*.

Reviewer for: National Science Foundation, National Institutes of Health, Hong Kong Research Grants Council, and Israel Science Foundation.

Organizer of: National Science Foundation & National Bureau of Economic Research Conferences.

Program committee: AFA Session Chair 2022, 2021, 2018, 2016, 2015, 2010, WFA 2008-2023, FMA 2006, 2008, EFA 2009-2023, Wash U Corporate Finance Conference 2010-2023, Miami Behavioral Finance Conference 2010-2023, Red Rock Finance Conference 2014-2023, Finance Down Under Conference 2011-2023, SFS Cavalcade 2012-2023.

Teaching

Family Office Wealth Management, HarvardX Course on FinTech, Finance I, Investment Management, *Investment Strategies, Stock Pitching, Topics in Entrepreneurship and Innovation Economics, Investment Management Workshop, Finance for Senior Executives.*
MBA, PhD, Executive Education, & HarvardX Courses, 2007-2023.

Financial Management & Behavioral Finance, Yale School of Management
MBA Courses, 2005, 2006.

Instructor, Graduate School of Business, University of Chicago
Mathematics course for incoming PhD students, 2003.

Personal

Married to: Dr. Nicole Cohen, 6 children.